01-28-

Attorney Docket No. 21402-139 (Cura 439)

Express Mail Label: EV139501297US Date of Deposit: January 27, 2003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PPLICANTS:

Bader, et al.

SERIAL NUMBER:

09/973,449

EXAMINER:

Not Yet Assigned

FILING DATE:

October 9, 2001

ART UNIT:

1643

FOR:

Efficient Tests of Association for Quantitative Traits and Affected-

Unaffected Studies Using Pooled DNA

**Box IDS** 

Commissioner for Patents Washington, D.C. 20231

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TRANSMITTAL LETTER

**TECH CENTER 1600/2900** 

Transmitted herewith for filing in the above-referenced patent application are the following documents:

- 1. Supplemental Information Disclosure Statement (2 pages), in duplicate:
- 2. Modified Form 1449/PTO (1 page), in duplicate;
- 3. Copies of cited references B1, and C17; and
- 4. Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617-542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 21402-439 (Cura 439). A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted.

Ivor R. Elrifi, Reg. No. 39,529

Cynthia A. Kozakiewicz, Reg. No. 42,764

Attorneys for Applicants

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

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PATENT TRADEMARK OFFICE

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Supplemental Information Disclosure Statement is being filed within three months of receiving an International Search Report for PCT US 01/31373, or before the mailing date of a first Office Action based on the merits in the above-identified case. Accordingly, no fee or certification is believed required.

It is respectfully requested that the Examiner consider completely the cited information. along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS: U.S.S.N.:

Bader, et al. 09/973,449

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21402-139 (Cura-439).

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Cynthia A. Kozakiewicz, Reg. No. 42,764

Attorneys for Applicants

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

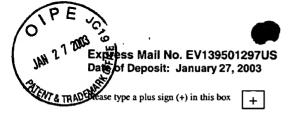
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Dated: January 27, 2003

TRA 1756684v1



PTO/SB (12-97)

Approved for use through 9/30/00, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Modified Form 1449/PTO	Application Number	09/973,449
	Filing Date	October 9, 2001
INFORMATION DISCLOSURE	First Named Inventor	Bader
STATEMENT BY APPLICANT	Group Art Unit	1643
	Examiner Name	Not Yet Assigned
(use as many sheets as necessary)	Attorney Docket Number	21402-139 (Cura-439)

	U.S. PATENT DOCUMENTS						
Exam initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate

FC				FOREIGN PATENT DOCUMENTS	OREIGN PATENT DOCUMENTS		
Exam Initials Cite No. Office Number			Name of Patentee(s) or Applicant(s)	Date of Publication	Trans Yes	Translation Yes No	
	B1	wo	02/16643	Curagen Corporation	2/28/02		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Exam Initials Cite No. Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.				
	C17	International Search Report for PCT US 01/31373, mailed December 18, 2002.		

* a copy of	this reference is not provided as it was	previously cited by or submitted to the office in a prior application,
Serial No.	, filed	, and relied upon for an earlier filing date under
35 U.S.C.	§120 (continuation, continuation-in-part	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

TRA 1756419v1

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